

FSUB 10479.2 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Robert A. Holton Serial No. 10/673,897 Filed September 29, 2003 Confirmation No. To Be Assigned Art Unit 1625

PREPARATION OF SUBSTITUTED ISOSERINE ESTERS USING METAL ALKOXIDES AND β-LACTAMS

December 22, 2003

TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

For

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicant submits copies of references 33 and 43 listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. Copies of references 1-33, 35-42, and 44-72 have not been provided in accordance with MPEP 609 and 37 C.F.R. § 1.98(d) because they are of record in prior application Serial No. 10/289,103, filed November 6, 2002, relied upon for an earlier filing date under 35 U.S.C. § 120.

Respectfully submitted,

Edward J. Hejlek, Reg/No. 31,525

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PTQ/SB/08A			··· Complete if Known		
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PA TRADE			First Named Inventor	Robert A. Holton	
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Sheet 1	of	6	Attorney Docket No.	FSUB 10479.2	

			U.S. PATENT	DOCUMENTS	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Application Number 10/673,897 Filing Date September 29, 2003 Confirmation Number To Be Assigned First Named Inventor Robert A. Holton Express Mail Label No. EL 998651232 US Express Mail Label No. EL 998651232 US Examiner Name B. Trinh Sheet 3 of 6 Attorney Docket No. FSUB 10479.2 32 EP 0400971 A2 Florida State University 12-05-1990 33 EP 1193252 A3 Florida State University 11-05-2003 34 WO 92/09589 A1 Rhone Poulenc 06-11-1992 35 WO 93/06079 A1 Florida State University 04-01-1993 OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the litem (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-Issue number(s), publisher, city and/or country where publishers. A Novel Photocyclization Involving 1.4-Phenythin Migration* Tetrahedron Letters (1983), pp. 1169-1170, Vol. 24, No. 11, XP002224840, Elsevier Science Publishers, Amsterdam, NL BARTHOLOMEW et al. "A Novel Rearrangement Reaction Conversion of 3-(Chloromethyl)pazetidin-2-ones to Azetidine-3-Carboxylic Acid Esters* Tetrahedron Letters, Vol. 32, No. 36 (1981) pp. 4795-4798. CHAN et al. "Taxa-4(16), 11-diene-5c, gc, 10c, 13c-tetraol, a New Taxane Derivative from the Heartwood of Yew (T. Daccata L.). X-Ray Analysis of a p-Bromobenzoate Derivative from the Heartwood of Yew (T. Daccata L.). X-Ray Analysis of a p-Bromobenzoate Derivative from the Heartwood of Yew (Taxus baccata L.) Chem. Communications (1969) p. 1281. DENIS et al. "A Highly Efficient Practical Approach to Natural Taxol" Journal of American Chemical Society, Vol. 110, No. 17 (1988) pp. 5917-5919.	Express Mail Label No. EL 998651232 US First Named Inventor Robert A. Holton Group Art Unit 1625 Examiner Name B. Trinh 1625 Examiner Name B. Trinh 1625 Examiner Name B. Trinh 1626 1626 Examiner Name B. Trinh 17205-1990 17205-1	PTO/SB/08A							Compl t if Known			
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PTO/SB/0	08A			Complete if Known		
ļ ,	NFORMATION	DISC	LOSURE	Application Number	10/673,897	
JC88	STATEMENT B	Y API	PLICANT	Filing Date	September 29, 2003	
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STATEMENT BY APPLICANT (USE as many sheets as necessary) Express Mail Label No. EL 998651232 US Sheet 4 of 6				First Named Inventor	Robert A. Holton	
TENIA TRACT	ss Mait Lahel No	, El (008651232 HS	Group Art Unit	1625	
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	NFORMATION	DISC	LOSURE	Application Number	10/673,897
0.1	STATEMENT B			Filing Date	September 29, 2003
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	1			First Named Inventor	Robert A. Holton
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Express Mail Label No. EL 998651232 US				Examiner Name	B. Trinh
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ATENT & TRASE				First Named Inventor	Robert A. Holton
Express Mail Label No. EL 998651232 US				Group Art Unit	1625
				Examiner Name	B. Trinh
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